

OCT. 5. 2007 1:28PM

Frishauf & Partners +12123195101  
FAX: 1-212-319-5101

NO. 1433 P. 1

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07/06/2007

**FRISHAUF, HOLTZ, GOODMAN & CHICK, PC**  
 220 Fifth Avenue  
 16TH Floor  
 NEW YORK, NY 10001-7708

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 I hereby certify that this Fee(s) Transmittal is being deposited with the United States Postal Service with sufficient postage for first class mail in an envelope addressed to the Mail Stop ISSUE FEE address above, or being facsimile transmitted to the USPTO (571) 273-2885, on the date indicated below.

B. Villani	(Depositor's name)
<i>B. Villani</i>	(Signature)
via fax 10/5/07	(Date)

10/05/2007 FHETEKIP 00000101 10719284

01 FC:1501	1440.00 ND			
02 FC:1501	APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.
03 FC:1501	10/19/284	11/20/2003	Akira Fukushima	03627/LH
				3180

TITLE OF INVENTION: CRAWLER FRAME FOR CONSTRUCTION MACHINE

APPLN. TYPE	SMALL ENTITY	ISSUE FEE DUE	PUBLICATION FEE DUE	PRV. PAID ISSUE FEE	TOTAL FEE(S) DUE	DATE DUE
nonprovisional	NO	\$1400 1440	\$300	\$0	\$1700 1740	10/09/2007

EXAMINER	ART UNIT	CLASS-SUBCLASS
BEACH, THOMAS A	3671	037-397000

1. Change of correspondence address or indication of "Fee Address" (37 CFR 1.363).  
☐ Change of correspondence address (or Change of Correspondence Address form PTO/SB/122) attached.  
☐ "Fee Address" indication (or "Fee Address" Indication form PTO/SB/47; Rev 03-02 or more recent) attached. Use of a Customer Number is required.

2. For printing on the patent front page, list

(1) the names of up to 3 registered patent attorneys or agents OR, alternatively,  
 (2) the name of a single firm (having as a member a registered attorney or agent) and the names of up to 2 registered patent attorneys or agents. If no name is listed, no name will be printed.

1 \_\_\_\_\_  
 2 **FRISHAUF, HOLTZ, GOODMAN & CHICK, P.C.**  
 3 \_\_\_\_\_

3. ASSIGNEE NAME AND RESIDENCE DATA TO BE PRINTED ON THE PATENT (print or type)

PLEASE NOTE: Unless an assignee is identified below, no assignee data will appear on the patent. If an assignee is identified below, the document has been filed for recordation as set forth in 37 CFR 3.11. Completion of this form is NOT a substitute for filing an assignment.

(A) NAME OF ASSIGNEE

(B) RESIDENCE: (CITY and STATE OR COUNTRY)

1. Komatsu Ltd.

TOKYO, JAPAN

2. Hitachi Construction Machinery Co., Ltd.

TOKYO, JAPAN

Please check the appropriate assignee category or categories (will not be printed on the patent): ☐ Individual ☒ Corporation or other private group entity ☐ Government

4a. The following fee(s) are submitted:

☒ Issue Fee  
☒ Publication Fee (No small entity discount permitted)  
☒ Advance Order - # of Copies 3

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☐ A check is enclosed.  
☒ Payment by credit card. Form PTO-2038 is attached.  
☐ The Director is hereby authorized to charge the required fee(s), any deficiency, or credit any overpayment, to Deposit Account Number 06-1378 (enclose an extra copy of this form).

5. Change in Entity Status (from status indicated above)

☐ a. Applicant claims SMALL ENTITY status. See 37 CFR 1.27.☐ b. Applicant is no longer claiming SMALL ENTITY status. See 37 CFR 1.27(g)(2).

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Authorized Signature

*Leonard Holtz*Date 10/5/07

Typed or printed name

Registration No. 22,974

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